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Attorney's Docket No.: 14952.0276 C1



N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Moungi Bawendi et al.

Serial No.: 10/642,578

Filed : August 19, 2003

Title : HIGHLY LUMINESCENT COLOR-SELECTIVE NANOCRYSTALLINE

**MATERIALS** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### REVOCATION AND NEW POWER OF ATTORNEY

Under 37 CFR §3.73(b), Massachusetts Institute of Technology certifies that it is the assignee of 100% of the right, title and interest in the patent application identified above by virtue of:

An assignment from the inventors of a priority application of the patent application identified above, recorded at the Patent and Trademark Office on July 16, 1998, at reel/frame 9327/0361. A copy of the recorded assignment is enclosed for reference.

An assignment from the inventors of a priority application of the patent application identified above, recorded at the Patent and Trademark Office on October 30, 2000, at reel/frame 011265/0304. A copy of the recorded assignment is enclosed for reference.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney previously granted in the application and appoints:

Harold H. Fox	Reg. No. 41,498
Stuart T.F. Huang	Reg. No. 34,184
D. Douglas Price	Reg. No. 24,514
Charles F. Schill	Reg. No. 27,590
Scott D. Watkins	Reg. No. 36,715

Applicant: Moungi Bawendi et al. Attorney's Docket No.: 14952.0276 C1

Serial No.: 10/642,578 Filed: August 19, 2003

Page : 2 of 2

of: STEPTOE & JOHNSON LLP

1330 Connecticut Avenue, NW

Washington, DC 20036

with full power of substitution and revocation, to prosecute the application and to transact all business in the United States Patent and Trademark Office connected therewith.

All correspondence regarding the application should be sent to:

### 27890

PTO Customer Number

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Respectfully submitted,

Date: 7/1 /04

Rita Filipowicz

Patent Administrator

Massachusetts Institute of Technology

Adyoniy

Steptoe & Johnson LLP 1330 Connecticut Avenue, NW Washington, DC 20036-1795 Telephone: 202-429-3000

Facsimile:

202-429-3902



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 ELI

JANUARY 29, 2001

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FISH & RICHARDSON P.C. ERIC L. PRAHL 225 FRANKLIN STREET BOSTON, MASSACHUSETTS 02110-2804



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/30/2000

REEL/FRAME: 011265/0304

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KIM, SUNGJEE

DOC DATE: 09/21/2000

ASSIGNOR:

BAWENDI, MOUNGI G.

DOC DATE: 09/21/2000

ASSIGNOR:

MIKULEC, FREDERIC V.

DOC DATE: 09/19/2000

ASSIGNEE:

MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MASSACHUSETTS 02139

SERIAL NUMBER: 09625861

PATENT NUMBER:

FILING DATE: 07/26/2000

ISSUE DATE:

No Docketing Required
Reviewed by Practice Systems
Initials KYM On 212 DA

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FEB 0 2 2001

FISH & RICHARDSON, P.G. BOSTON OFFICE 011265/0304 PAGE 2

ALLYSON PURNELL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



11-28-2000



101529288

Substitute Form PTO-1595 Attorney Docket No.: 01997-276001 Clients Reports: MIT 8529

OCT 3 0 2000

## **DRM COVER SHEET TS ONLY**

Name and address of receiving party(ies):  Massachusetts Institute of Technology
77 Massachusetts Avenue Cambridge, MA 02139
Additional names/addresses attached? 口 Yes 国 No
ecution date of the application is:
B: Patent No(s).:
ers attached? □ Yes 図 No
6. Total number of applications/patents involved: 1
7. Total fee (37 CFR §3.41): \$40
☑ Enclosed ☐ Authorized to charge Deposit Account.
8. Deposit Account No.: 06-1050
Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
USE THIS SPACE
and belief, the foregoing information is true and correct ment.
lu 10-3000
Date
Total number of pages including coversheet, attachments and documents

#### **ASSIGNMENT**

For valuable consideration, we, MOUNGI G. BAWENDI, FREDERIC V. MIKULEC. and SUNGJEE KIM, hereby assign to Massachusetts Institute of Technology, a Delaware corporation having a place of business at 77 Massachusetts Avenue, Cambridge, MA 02139; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled <u>TELLURIUM-CONTAINING</u> NANOCRYSTALLINE MATERIALS, filed July 26, 2000, and assigned U.S. Serial Number 09/625,861, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridge, UA,
this 21 day of September 3000 L.S.
SUNJEE KIM SUNGJEE SK
State of <u>Massachusetts</u> : : ss.
County of Middlesex:
Before me this 21 day of September, 2000, personally appeared SUNJEE KIM known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.
Notary Public
My Commission Expires:
[Notary's Seal Here]  Deborah Burke My Comm. Exp 7/2/2004

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,

IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridg, UA
IN WITNESS WHEREOF, I neleto set my hand and set in
this 2/ day of <u>September</u> , 20,00
Moungi G. BAWENDI
MOUNGI G. BAWENDI
State of Massachusoff:
: ss.
County of Middlesex:
Before me this <u>J</u> day of <u>September</u> , 20 <u>00</u> , personally appeared <u>MOUNGI G. BAWENDI</u> known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed
the foregoing Assignment and acknowledged that he executed the same as his needed and seem
for the purposes therein contained.
Notary Public
My Commission Expires:
[Notary's Seal Here]  Deborah Burke My Comm. Exp 7/2/2004

IN WITNESS WHEREOF, I hereto set my hand and seal at LA JOLLA CA
this 1974 day of SEPTEMBER, 2000  L.S.
MR. FREDERIC V. MIKULEC
State of Calefornia:
County of San Diego:
Before me this
appeared MR. FREDERIC V. MIKULEC known to me to be the person whose name is
subscribed to the foregoing Assignment and acknowledged that he executed the same as his free
act and deed for the purposes therein contained.
SHARON GRIDDINE Commission # 1120490* Notary Public — California San Diega County My Comm. Expires Dec 22, 2000  My Commission Expires: Well 22, 2000

[Notary's Seal Here]



UNITED S. ATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONE
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 05, 1998

PTAS

\*1007841594\*

CHOATE, HALL & STEWART MARY ROSE SCOZZAFAVA EXCHANGE PLACE 53 STATE STREET BOSTON, MA 02109

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RECORDATION DATE: 07/16/1998

REEL/FRAME: 9327/0361

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BAWENDI, MOUNGI

DOC DATE: 07/10/1998

**ASSIGNOR:** 

JENSEN, KLAVS F.

DOC DATE: 07/10/1998

**ASSIGNOR:** 

DABBOUSI, BASHIR O.

DOC DATE: 06/21/1998

**ASSIGNOR:** 

RODRIGUEZ-VIEJO, JAVIER

DOC DATE: 06/19/1998

ASSIGNOR:

MIKULEC, FREDERIC VICTOR

DOC DATE: 07/10/1998

ASSIGNEE:

MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE. CAMBRIDGE, MASSACHUSETTS 02139 9327/0361 PAGE 2

SERIAL NUMBER: 08969302

PATENT NUMBER:

FILING DATE: 11/13/1997

ISSUE DATE:

PAULA MCCRAY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

_		07-24-1998	}	ER ( EET	U.S. DEPARTMENT OF CONVERGE Patent and Trademark Office.
İ	FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4:94)			Y	▼ ▼
		100784159		the attached original de	ocuments or copy thereof.
3	Tab settings □ □ □ ▼  To the Honorable Commissione	UI ratorito allo ifuscificino.			
1/20	1. Name of conveying party(ies): (1) Moungi Bawendi (2). (3) Bashir O. Dabbousi (4) Javier Rodriguez-Vi Additional name(s) of conveying party(ies)	Klavs F. Jensen (5) Frederic	۷.	Name and address of rece  Name: Massachuset  Internal Address: Techr	ts Institute of
\	3. Nature of conveyance:				
	Assignment	☐ Merger		Street Address: 77 Mas:	sachusetts Ave.
	☐ Security Agreement	☐ Change of Name		City:_Cambridge	State: MA ZIP: 02139
	Other  Execution Date: (1), (2), (5)	7/10/98		Additional name(s) & address(es	s) attached? ☐ Yes ①KNo
	(3) 6/21/98 (4) 6/ 4. Application number(s) or paten	19/98	<u> </u>		
	4. Application number(s) or pater.  If this document is being filed  A. Patent Application No.(s)  08/969,302	together with a new application  Additional numbers a	ntache	B. Patent No. (Sommissioner on 1)	For Patents, Washington, D.C. 20231
	Name and address of party to concerning document should	whom correspondence be mailed:	_	Total number of application	
	Name: <u>Mary Rose Sc</u>	ozzafaya		7. Total fee (37 CFR 3.41)	\$_40.00
	Internal Address: <u>Choate</u> , <u>Exchange Place</u>	Hall & Stewart		<ul><li>Enclosed</li><li>Authorized to be cha</li></ul>	rged to deposit account
	Street Address: 53 Stat	e Street		8. Deposit account number	
	City:_Boston\$	tate: <u>MA</u> ZIP: 02109			page if paying by deposit account)
<i>:</i>	07/22/1998 JSHABAZZ 00000189 08969302	DO NOT	USE	THIS SPACE	
	9. Statement and signature. To the best of my knowledg the original document.	170 a.s.	1 '	tion is true and correct and a	any attached copy is a true cop
	Mary Rose Scozza£		9	Signature 7 27	ment: 8
	Name of Person Significant	Total number of pages inclu	ding co	over sheet, attachments, and docu	nto:

#### **ASSIGNMENT**

WHEREAS, We Moungi Bawendi, of Cambridge, Massachusetts; Klavs F. Jensen of Lexington, Massachusetts; and Frederic Victor Mikulec of Somerville, Massachusetts having invented certain new and useful improvements in HIGHLY LUMINESCENT COLOR-SELECTIVE MATERIALS for which we have made application for United States Letters Patent, the said application filed on November 13, 1997, as U.S. Serial No.08/969,302

WHEREAS, Massachusetts Institute of Technology a non-profit educational institution having offices at 77 Massachusetts Avenue, Cambridge, Massachusetts, and its successors and assigns (collectively hereinafter called "the Assignee"), is desirous of acquiring my entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledge, We do hereby sell assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may granted therefore, and all divisions, reissues, substitutions, continuations; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representative, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

IN WITNESS WHEREOF, I hereto set my hand and seal at facilities 11.1
this 10 th day of 19 55
Moungi Bawendi
SS.  COUNTY OF Michael SS.  Before me this May of 1998, personally appeared Moungi Bawendi known to me to be the person whose name of subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.
deed for the purposes therein contained.  NOTARY PUBLIC
[Notary's Seal Here] My Commission Expires:
CAROL PHILLIPS
Notary Public  My Commission Expires April 23, 2004
IN WITNESS WHEREOF, I hereto set my hand and seal at continues M+.  this day of the seal o
Klavs F. Jensen
Before me this day of new 1998 personally appeared  Klavs F. Jensen known to me to be the person whose name of subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.  NOTARY PUBLIC
[Notary's Seai Here] My Commission Expires:
CAROL PHILLIPS  Notary Public  My Commission Expires April 23, 2004

	( )
IN WITNESS WHEREOF, I hereto set my han this 100 th  Lider Victor M	t light
FVM	·
STATE OF Maria suisite	
COUNTY OF MICHIGAN	
Frederick Victor Mikulec known to me to be the foregoing Assignment and acknowledged to and deed for the nurposes therein contained.	nat he executed the same as his not use
<i>y</i> •••	NOTARY PUBLIC
[Notary's Seal Here]	My Commission Expires:
	CAROL PHILLIPS  Notary Public  My Commission Expires April 23, 2004
DS1.375105.1	

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### **ASSIGNMENT**

WHEREAS, I, Bashir - O. Dabbousi of Saudi Arabia; having invented certain new and useful improvements in HIGHLY LUMINESCENT COLOR-SELECTIVE MATERIALS for which I am making application for a United States Patent, the said application attached hereto; and

WHEREAS, Massachusetts Institute of Technology a non-profit educational institution having offices at 77 Massachusetts Avenue, Cambridge, Massachusetts, and its successors and assigns (collectively hereinafter called "the Assignee"), is desireous of acquiring my entire right, title and interest in and to the invention, and in and to the said Applications and any Letters Patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledge, I do hereby sell assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said Applications and all patents which may granted therefore, and all divisions, reissues, substitutions, continuations, which may granted therefore, and all divisions, reissues, substitutions, continuations, continuations—in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

I also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said Applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

I hereby further agree that I will communicate to said ASSIGNEE, or to its successors, assigns and legal representative, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

Bashir O. Dabbousi	6/21/98
Witnessed by:	
M. Osama B. Dal-bonan	21 June 98
Name:	Date
Rasha Daadaush Fasta	23 june 98
Name:	Date:

DS1.415600

WHEREAS, I, Xavier Rodriguez-Vielo of Sant Cugat del Valles, Spain; having invented certain new and useful improvements in HIGHLY LUMINESCENT COLOR-SELECTIVE MATERIALS for which I am making application for a United States Patent, the said application attached hereto; and

WHEREAS, Massachusetts institute of Technology a non-profit educational institution having offices at 77 Massachusetts Avenue, Cambridge, Massachusetts, and its successors and assigns (collectively hereinafter called "the Assignee"), is desireous of acquiring my entire right, title and interest in and to the Invention, and in and to the said Applications and any Letters Patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledge, I do hereby sell assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said Applications and all patents which may granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

I also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said Applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

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SIGNED BY: Xavier Rodriguez-Viejo

06-19-98

Witnessed by:

LOURON (WI 46 809691)
Name:

ESTER HURTOS (DNI 77913498)

06-19-98

06-19-98.

Date:

DS1.415600